

**Rule 37.** Before a name can be registered by the Club for a horse which has been permanently imported there must have been deposited with the Registry Office: —

- (1) A certificate of pedigree stating the name, pedigree, age, sex and colour of the horse and any mark by which it may be identified, signed by the official Stud Book authority of the country in which the horse was foaled. Should there not be an official Stud Book, the Stewards of the Jockey Club will accept the horse's passport or a certificate signed by the competent authority of the Recognised Racing Authority.
- (2) Should the name of the sire and/or dam of the horse not be registered or be unknown, a statement of that fact shall be made together with such further identification information by way of distinguishing marks and the way in which the horse was obtained.
- (3) A certificate that the horse is under no embargo in the country from which it came.

**Rule 38.** Any horse stabled and/or trained outside Hong Kong or outside Conghua Racecourse may be registered by the Club providing the name, colour, sex, age and pedigree of the horse are given, but any horse named under this Rule may not run for any race until there have been deposited at the Registry Office: —

- (1) A certificate of pedigree stating the name, pedigree, age, sex and colour of the horse and any marks by which it may be identified signed by the official Stud Book authority of the country in which the horse was foaled. Should there not be an official Stud Book, the Stewards of the Jockey Club will accept the horse's passport or a certificate signed by the competent authority of the Recognised Racing Authority.

### **Registration as Owners**

**Rule 39.** (1) Only the following persons may be registered as owners: —

- (i) Eligible Members of the Club as specified in the Ownership Bye-laws and their spouse or children in accordance with Rule 39 (4) and Rule 39 (5).
- (ii) Approved partnerships and syndicates formed by eligible persons as specified in the Ownership Bye-laws.
- (iii) A body corporate approved by the Stewards of the Jockey Club.

- (iv) Persons, members of partnerships or syndicates or body corporate registered as owners of any Recognised Racing Authority and invited to register as visiting owners by the Stewards of the Jockey Club.
- (2) The Stewards of the Jockey Club shall maintain a register of the names of owners.
- (3) Every horse shall race in the actual name of the owner as registered with the Club. The name of each sole owner or part-owner of a horse will be published as part of the racing information.
- (4) A sole owner may race a horse jointly with his or her spouse, who may or may not be a Member. However, in such case, the spouse shall not be entitled to any share of the horse and will not be treated as an owner or one of the partners.
- (5) A sole owner may race a horse jointly with his child or children who is or are Racing Club Members. However, in such case, the child or children shall not be entitled to any share of the horse and will not be treated as an owner or one of the partners.
- (6) The fee for registration for each horse payable by a sole owner, partnership or syndicate will be at such rate as determined by the Stewards of the Jockey Club from time to time.

### **Ownership and Contingencies**

- Rule 40.** (1) Ownership of a horse must be absolute in the sense it is not subject to any arrangement whereby a third party may have some continuing or future interest in the horse. Such arrangements or interests shall include, but are not limited to, credit or deferred payment arrangements, any interest in the prize money, leases, leaseback, options to repurchase or return of the horse back to the original owner or any third party when it retires from racing.
- (2) Notwithstanding Rule 40 (1), a horse may be purchased by the owner subject to contingencies. A contingency may include payment of additional money by the owner dependent upon future performance, or any breeding arrangement for a horse subsequent to its retirement from racing provided that such payment or arrangement shall not in any way affect absolute ownership of the horse as set out in Rule 40 (1) whilst registered with the Club and a declaration is made pursuant to Rule 40 (3).